

22390 U.S. PTO
10/727950



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| UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b)) | Attorney Docket No. | EP-7596 |
| | First Inventor | Ramnath N. Iyer et al. |
| | Title | COMPOSITIONS FOR IMPROVED FRICTION DURABILITY IN POWER TRANSMISSION FLUIDS |
| | Express Mail Label No. | ER128386318US |

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|---|---|
| APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents. | ADDRESS TO: Mail Stop Patent Application Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450 |
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| | |
|--|--|
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) *TRANSMITTAL, w/certif. of mailing and fee calculation (Submit an original and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages <u>12</u>] (preferred arrangement set forth below) - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure</p> <p>4. <input type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets _____]</p> <p>5. Oath or Declaration [Total Sheets <u>2</u>] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) name in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> | <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> Paper c. <input type="checkbox"/> Statements verifying identity of above copies</p> |
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ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement of Attorney (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Utility Patent Applic. Trans. PTO/SB/05
Express Mail Certificate Under 37 CFR 1.10

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in the first sentence of the specification following the title, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: _____

Prior application information: Examiner _____ Art Unit: _____
For CONTINUATION OF DIVISIONAL APPS only; The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

| | |
|---|--|
| <input checked="" type="checkbox"/> Customer Number: <u>34769</u> | OR <input type="checkbox"/> Correspondence address below |
| Name _____ | |
| Address _____ | |
| City _____ | State _____ Zip Code _____ |
| Country _____ | Telephone _____ Fax _____ |
| Name (Print/Type) <u>Leah O. Robinson</u> | Registration No. (Attorney/Agent) <u>44,990</u> |
| Signature <u>Leah O. Robinson</u> | Date <u>December 4, 2003</u> |

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:)
Ramnath N. Iyer et al.)
)
Application No.: UNASSIGNED)
)
Filed: December 4, 2003)
)
For: COMPOSITIONS FOR IMPROVED)
FRICTION DURABILITY IN POWER)
TRANSMISSION FLUIDS)

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

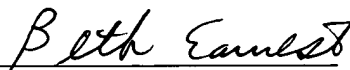
CERTIFICATE UNDER 37 CFR 1.10 - EXPRESS MAIL

I hereby certify that the complete patent application stapled hereto and identified below is being deposited by me with the United States Postal Service as **EXPRESS MAIL** in an envelope addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on December 4, 2003. The number of the "Express Mail" mailing label placed thereon prior to mailing is **ER128386318US**.

Said patent application consists of the following:

1. Specification, Claims and Abstract (12 pages, 21 claims)
2. Transmittal, with fee calculation and Certificate of Mailing
3. Utility Patent Application Transmittal (PTO/SB/05)
4. Executed Declaration, Power of Attorney and Petition
5. Executed Assignment with Form PTO-1595, Recordation Form Cover Sheet

Return postcard attached hereto but not stapled.


Beth Earnest
Date: December 4, 2003

Telephone: (804) 788-6129
EXPRESS MAIL NO.: ER128386318US

TRANSMITTAL

In Re Application of: Ramnath N. Iyer et al.)
 Serial Number: Not yet assigned)
 Filed: December 4, 2003)
 For: **COMPOSITIONS FOR IMPROVED FRICTION**)
DURABILITY IN POWER TRANSMISSION)
FLUIDS)

CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.8

I hereby certify that that the complete patent application stapled hereto and identified below is being deposited by me with the United States Postal Service as **Express Mail** (Express Mail Label No. **ER128386318US**) on December 4, 2003, with sufficient postage in an envelope addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Beth Earnest

Mail Stop Patent Application
 Commissioner for Patents
 P. O. Box 1450
 Alexandria, VA 22313-1450

Sir:

Transmitted herewith is an application for U.S. Patent, including the following:

Express Mail Certificate (37 CFR 1.10)
 Utility Patent Application Transmittal, PTO/SB/05
 Application for Patent (12 pages, 21 claims)
 Executed Declaration, Power of Attorney and Petition
 Executed Assignment and Recordation Form Cover Sheet, PTO-1595
 Return Postcard

The claim fee has been calculated as shown below:

| | | | | Other than a Small Entity | |
|----------------------------|---|---|-----------------------------|----------------------------------|-----------------------------|
| | Claims | Highest Number Previously Paid for | Extra Claims Present | Rate | Additional Claim Fee |
| TOTAL | 21 | 20 | 1 | X 18= | \$18.00 |
| INDEPENDENT | 4 | 3 | 1 | X 86= | \$86.00 |
| Yes ___ No <u>X</u> | First Presentation of Multi-Dep. Claim | | | + 280= | |
| | | | | TOTAL | \$104.00 |

- ☒ Please charge my Deposit Account No. 05-1372 in the amount of \$914.00 (\$770.00 basic filing fee – utility; \$40 for assignment recordation, and \$104.00 in claim fees). A duplicate copy of this sheet is attached.

☒ The Commissioner is hereby authorized to charge any deficiencies in the following fees associated with this communication or credit any overpayment to Deposit Account No. 05-1372. A duplicate copy of this sheet is attached.

☒ Any filing fees under 37 C.F.R. § 1.16 for the presentation of extra claims.

☒ Any patent application processing fees under 37 C.F.R. § 1.17.

Respectfully submitted,

ETHYL PETROLEUM ADDITIVES, INC.



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Date: December 4, 2003